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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/818,011	03/27/2001	James Cardwell	0086.0080	2215

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EXAMINER

PARDO, THUY N

ART UNIT

PAPER NUMBER

2175

DATE MAILED: 07/08/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/818,011

Applicant(s)

CARDWELL ET AL.

Examiner

Thuy Pardo

Art Unit

2175

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 27 March 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-33 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-33 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 04.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other:

### DETAILED ACTION

1. Claims 1-33 are presented for examination.

#### *Information Disclosure Statement*

2. The information disclosure statement filed on May 18, 2001 (Paper No. 4) has been placed in the application file. The information referred to therein has been considered as to the merits.

#### *Claim Rejections - 35 USC § 102*

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

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4. Claims 2-4<sup>7, 12-14, 19, 24-26, 29</sup> are rejected under 35 U.S.C. § 102(e) as being anticipated by **Lautzenheiser et al.** (Hereinafter "Lautzenheiser") US Patent No. 6,023,572.

As to claim 2, Lautzenheiser teaches the invention substantially as claimed, comprising the steps of

creating a first informational database, the first informational database containing at least one business objective of the business [entity 662 of fig. 14-16];

creating a second informational database, the second informational database containing at least one project based on the at least one business objective [658 of fig. 14-16]; and  
allowing open access to and between the first and second informational databases [fig. 14].

As to claim 3, Lautzenheiser teaches the invention substantially as claimed. Lautzenheiser further teaches that the method further comprises the step of editing at least one of the informational databases [update, fig. 14-15].

As to claim 4, Lautzenheiser teaches the invention substantially as claimed. Lautzenheiser further teaches creating a second informational database; and creating at least one project based on the at least one objective [674 of fig. 14].

As to claim 7, Lautzenheiser teaches the invention substantially as claimed. Lautzenheiser further teaches allowing limited access to the first and second informational databases [col. 6, lines 28-52].

As to claim 12, Lautzenheiser teaches the invention substantially as claimed. Lautzenheiser further teaches accessing at least one of the informational databases via a computer network [see fig. 14].

As to claim 13, Lautzenheiser teaches the invention substantially as claimed. Lautzenheiser further teaches accessing at least one of the informational databases from a remote location [fig. 14].

As to claims 14-16, 19, 24-26, and 29, all limitations of these claims have been addressed in the analysis of claims 2-4, 7, 12, and 13 above, and these claims are rejected on that basis.

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. § 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1, 5, 6, 8-11, 17-, 18, 20-23, 27, 28, and 30-33 are rejected under 35 U.S.C. § 103 as being unpatentable over **Lautzenheiser et al.** (Hereinafter "Lautzenheiser") US Patent No. 6,023,572, in view of **Stuart** US Patent No. 6,466,935.

As to claim 1, Lautzenheiser teaches the invention substantially as claimed, comprising:  
providing multiple layers of an organization [organization level scenarios, see fig. 8];  
providing means for analyzing the at least one objective [analysis, 402 of fig. 7];  
providing means for organizing multiple objectives [204, 208, 210 of fig. 7];

creating at least one team project based on the at least one objective [project plan review, fig. 7];

assigning at least one task based on the at least one team project [project normal development process, fig. 7];

assigning priority levels to the at least one objective, the at least one team project, and the at least one task;

allowing access between the layers [see fig. 8]; and,

providing means for comparing the at least one objective, the at least one team project, and the at least one task [inherent in the system in order to compare BP Scenarios and PJT Scenarios, fig. 8].

However, Lautzenheiser does not explicitly teach creating a data table, the data table containing at least one objective of the organization, at least one goal of the organization, and priority levels for the at least one objective. Stuart teaches creating a data table, the data table containing at least one objective of the organization, at least one goal of the organization, and priority levels for the at least one objective [a highest priority work item retrieved from one or more relational database tables, see the abstract].

Therefore, it would have been obvious to one of ordinary skill in the Data Processing art at the time of the invention to have modified Lautzenheiser's system wherein the method for interconnecting multiple layers of an organization provided thereof would have incorporated the teachings of Stuart especially processing a highest priority work item retrieved from one or more relational database tables; the motivation being to expand and enhance the versatility of

Lautzenheiser's system by optimizing the throughput on the equipment, minimizing errors, and reducing the cost per work [see Stuart, col. 1, lines 58-63].

As to claim 5, Lautzenheiser and Stuart teach the invention substantially as claimed. Stuart further teaches determining organizational priorities [inherent in the system]; assigning a priority value to the at least one objective 612 of fig. 6]; and assigning a priority value to the at least one project [Priority #1 for Job ID 1731, fig. 6].

As to claims 6 and 8, all limitations of these claims have been addressed in the analysis above, and these claims are rejected on that basis.

As to claim 9, Lautzenheiser and Stuart teach the invention substantially as claimed. Stuart further teaches providing security measures for limiting access to the informational databases [col. 5, lines 19-32].

As to claim 10, Lautzenheiser and Stuart teach the invention substantially as claimed. Stuart further teaches editing at least one of the informational databases; and updating at least one of the informational databases [col. 5, lines 19-32].

As to claim 11, Lautzenheiser and Stuart teach the invention substantially as claimed. Lautzenheiser further teaches developing critical measures associated with the first informational

database; developing evaluation criteria and deliverables for the second informational database; and developing basic roles for the third informational database [fig. 14-16].

As to claims 17, 18 , and 20-23, they are corresponding apparatus claims of claims 1, 5, 6, and 8-11; therefore, they are rejected under the same rationale.

As to claims 27, 28, and 30-33, all limitations of these claims are rejected in the analysis of claims 1, 5, 6, and 8-11 above, and these claims are rejected on that basis.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thuy Pardo, whose telephone number is (703) 305-1091. The examiner can normally be reached Monday through Thursday from 8:30 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dov Popovici, can be reached at (703) 305-3830.

The fax phone number for the organization where this application or proceeding is assigned are as follows:

(703) 746-7238	(After Final Communication)
(703) 746-7239	(Official Communication)
(703) 746-7240	(For Status inquiries, draft communication)

and/or:



(703) 746-5616 (*Use this Fax#, only after approval by Examiner, for "INFORMAL" or "Draft" communication. Examiner may request that a formal/amendment be faxed directly to them on occasions*).

Any inquiry of a general nature of relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-3900.

**8. Any response to this action should be mailed to:**

Commissioner of Patents and Trademarks

Washington, D.C. 20231

**or faxed to:**

(703) 308-9051, (for formal communications intended for entry)

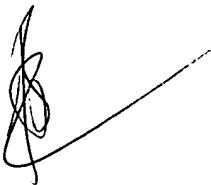
**Or:**

(703) 308-5359, (for informal or draft communications; please label

"PROPOSED" or "DRAFT")

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington.

VA., Sixth Floor (Receptionist).



Thuy Pardo  
June 26, 2003